# Robust post-accident reconstruction of loading forces

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Keywords: impact identification, inverse dynamics, smart systems, structural health monitoring

Key Engineering Materials 347, 2007, pp. 659-664. doi:10.4028/www.scientific.net/KEM.347.659

**Abstract.** The paper presents a novel methodology for robust post-accident reconstruction of spatial and temporal characteristics of the load. The methodology is based on analysis of local structural response, and identifies an observationally equivalent load, which in a given sense optimally approximates the real load. Compared to previous researches this approach allows to use a limited number of sensors to reconstruct general dynamic loads of unknown locations, including multiple impacts and moving loads. Additionally, the problem of optimum sensor location is studied.

# Introduction

The motivation for this research is the need for a general analysis technique for efficient reconstruction of the scenario of a sudden load (e.g. impact, collision etc.), which could be used in a black box type systems. The methodology is based on analysis of local structural response and is applicable to all impact-exposed engineering structures, provided a dedicated sensors system is distributed in the structure in order to measure and store local response.

The technique identifies the modally equivalent load, which is observationally indistinguishable from the real load and optimum in a given sense. The reconstruction is in fact a deconvolution problem and can be formulated analytically as a complex optimisation problem: find the equivalent impact scenario that (i) minimises the mean-square distance between simulated and measured dynamic responses in sensor locations and (ii) is optimum in a given sense. The reconstruction quality is directly related to the number and location of sensors, hence a part of this paper proposes two complementary criteria of correct sensor location.

Compared to previous researches [1,2] this approach allows to reconstruct with a highly limited number of sensors general dynamic loads of unknown locations, including simultaneous multiple impacts and moving loads.

Additionally, a simple numerical example illustrating the methodology is presented.

# **Problem Formulation**

**Linear Response to Dynamic Loading.** Let the system being considered be linear and spatially discretised. Provided both the excitation and the system transfer function are known, response of the system in a given sensor location can be expressed by means of a convolution integral as follows:

$$\varepsilon_{\alpha}(t) = \sum_{n} \int_{-\Delta T}^{t} B_{\alpha n}(t-\tau) p_{n}(\tau) d\tau, \qquad (1)$$

where  $\varepsilon_{\alpha}$  and  $p_n$  represent respectively linear system response in the  $\alpha$ -th location and loading in the *n*-th degree of freedom (DOF),  $B_{\alpha n}$  is the system transfer function relating the response in the  $\alpha$ -th location to a Dirac-type loading in a the *n*-th DOF, and  $\Delta T$  is the maximum system response time (i.e. the maximum time of elastic wave propagation between a loading-exposed DOF and a sensor). Due to the intended limited number of sensors, the considered system is rarely collocated, hence  $\Delta T$ >0 takes into account the maximum response time. Zero excitation is assumed prior to time  $-\Delta T$ .

In real-world applications continuous responses are rarely known, hence Eq. (1) should be discretised with respect to time to take the form of a linear equation:

$$\boldsymbol{\varepsilon}_{\boldsymbol{\alpha}} = \left\langle \sum_{n} \sum_{\tau = -\Delta T}^{t} B_{\alpha n}(t - \tau) p_{n}(\tau) d\tau \middle| t = 0, \Delta t, 2 \Delta t, \dots, T \Delta t \right\rangle = \sum_{n} B_{\alpha n} p_{n}, \qquad (2)$$

where  $\varepsilon_{\alpha}$  and  $p_n$  are respectively vector of responses in the  $\alpha$ -th sensor location and vector of loading forces in the *n*-th DOF in all discretised time instances, *T* is the number of measurement time steps, and  $B_{\alpha n}$  is the Toeplitz transfer matrix corresponding to the  $\alpha$ -th sensor location and the *n*-th DOF.

**Load Reconstruction.** The idea behind the proposed load reconstruction scheme is basically the deconvolution: compare the measured  $(\varepsilon^M)$  and the modelled  $(\varepsilon)$  system responses and obtain the excitation by solving the resulting equation. In the continuous time case it leads to a system of *A* Fredholm integral equations of the first kind,

$$\varepsilon_{\alpha}^{M}(t) = \sum_{n=1}^{N} \int_{-\Delta T}^{t} B_{\alpha n}(t-\tau) p_{n}(\tau) d\tau, \quad \alpha = 1, 2, \dots, A,$$
(3)

where N is the number of potentially load-exposed DOFs, and in the discrete time case to

$$\boldsymbol{\varepsilon}^{M} = \boldsymbol{B} \boldsymbol{p}, \tag{4}$$

a large linear system, where the vectors  $\varepsilon^{M}$  and p contain all the measured discrete responses and all the discretised loading forces, and the block matrix B is composed of all Toeplitz matrices  $B_{\alpha n}$ .

Notice that in the intended practical situation  $A \le N$  (fewer sensors than potentially loadingexposed DOFs) both systems Eq. (3) and Eq. (4) are underdetermined. In the case of the discretised system Eq. (4) the reason is twofold: (i)  $A \le N$  and (ii) time intervals of different length (measurement *T* and reconstruction  $T + \Delta T$ ) being discretised with the same time step  $\Delta t$ .

Notice also that Eq. (3) and Eq. (4) tend to be ill-conditioned, which is mainly due to the inherent ill-conditioning of compact integral operators of the first kind, which cannot have a bounded inverse [3]. A seemingly contradictory behaviour is the result: the finer the time discretisation  $\Delta t$ , the more ill-conditioned Eq. (4) is. Moreover, ill-conditioning often arises also due to small (or neglected) time shift  $\Delta T$ , which results in almost triangular matrices  $B_{\alpha n}$  with very small values on the diagonal. Therefore, a regularisation technique is usually a must [2,3,4].

#### **Overdetermined systems**

**Objective function.** If the system Eq. (4) is overdetermined, an exact solution may not exist, but a unique load p can be found to minimise the following objective function

$$f_{M}(\boldsymbol{p}) = \left\| \boldsymbol{M} \left( \boldsymbol{\varepsilon}^{M} - \boldsymbol{B} \, \boldsymbol{p} \right) \right\|^{2}, \tag{5}$$

where *M* is a given preconditioner matrix. The optimum load *p* can be calculated either (i) directly by a time- and memory-costly singular value decomposition (SVD) to be  $(MB)^+M\varepsilon^M$ , where '+' exponent denotes the pseudoinverse, or (ii) by an iterative optimisation procedure described below.

For reasons of simplicity a unity preconditioner matrix M = I will be assumed further on. Taking into account possible regularisation,  $f_I(p)$  can be rewritten as

$$f_{I}(\boldsymbol{p}) = \sum_{t=0}^{T} \sum_{\alpha=1}^{A} \left[ \boldsymbol{\varepsilon}_{\alpha}^{M}(t) - \boldsymbol{\varepsilon}_{\alpha}(t) \right]^{2} + \delta \|\boldsymbol{D}\boldsymbol{p}\|^{2},$$
(6)

where  $\delta \ge 0$  is a Tikhonov regularisation term [2,3,4]. Due to Eq. (2) the derivative of the objective function  $f_I$  with respect to  $p_n(t)$  is expressible as

$$\frac{\partial f_I(\boldsymbol{p})}{\partial p_n(t)} = -2\sum_{\tau=t}^T \sum_{\alpha=1}^A \left[ \boldsymbol{\varepsilon}^M_{\alpha}(\tau) - \boldsymbol{\varepsilon}_{\alpha}(\tau) \right] B_{\alpha n}(\tau - t), \tag{7}$$

where  $\delta = 0$  is assumed for notational simplicity.

**Basic formulae.** The objective function is easily verified to be quadratic and convex, hence it can be expanded around a given loading vector p as

$$f_{I}(\boldsymbol{p}+\boldsymbol{d}) = f_{I}(\boldsymbol{p}) + \boldsymbol{\nabla} f_{I}(\boldsymbol{p})^{T} \boldsymbol{d} + \frac{1}{2} \boldsymbol{d}^{T} \boldsymbol{H} \boldsymbol{d}.$$
(8)

Eq. (8) compared with with Eq. (6) and Eq. (7) leads to the two following basic formulae:

$$\nabla f(\mathbf{p})^{T} \mathbf{d} = -2 \sum_{t=0}^{T} \sum_{\alpha \in \Sigma} \varepsilon_{\alpha}^{(d)}(t) \left[ \varepsilon_{\alpha}^{M}(t) - \varepsilon_{\alpha}^{(p)}(t) \right],$$
  
$$\mathbf{d}_{i}^{T} \mathbf{H} \mathbf{d}_{j} = 2 \sum_{t=0}^{T} \sum_{\alpha \in \Sigma} \varepsilon_{\alpha}^{(d_{i})}(t) \cdot \varepsilon_{\alpha}^{(d_{j})}(t).$$
(9)

**Line optimisation.** To avoid computing and inverting the Hessian of the objective function, which would be much more ill-conditioned than B, optimisation has to consist of a series of line optimisations. Each one amounts to finding the minimum at a given loading p along the direction d, i.e. the minimum of  $f_i(p+sd)$  with respect do s, which due to Eq. (8) is a convex quadratic function with the (easily calculable by Eq. (9)) minimum at

$$s_{min} = -\frac{\nabla f_I(\boldsymbol{p})^T \boldsymbol{d}}{\boldsymbol{d}^T \boldsymbol{H} \boldsymbol{d}}.$$
(10)

**Conjugate gradient.** Eq. (7) allows to find the steepest descent direction  $-grad f_I$ . However, the steepest descent method suffers from slow convergence. The objective function is unbounded quadratic, thus perfectly suited for the conjugate gradient method: choosing in each optimisation step a direction  $d_{n+1}$  conjugate to all previous directions  $d_0$ , ...,  $d_n$  leads by Eq. (10) directly to the minimum in the subspace generated by all considered directions. Therefore, starting with the steepest descent direction and making use of the conjugacy criterion  $d_i^T H d_i = 0$ ,

$$\boldsymbol{d}_{n+1} = -\boldsymbol{\nabla} f(\boldsymbol{p}_{n+1}) + \sum_{i=0}^{n} \eta_{n+1,i} \boldsymbol{d}_{i}, \text{ where } \eta_{n+1,i} = \frac{\boldsymbol{\nabla} f(\boldsymbol{p}_{n+1})^{T} \boldsymbol{H} \boldsymbol{d}_{i}}{\boldsymbol{d}_{i}^{T} \boldsymbol{H} \boldsymbol{d}_{i}}.$$
(11)

Theoretically, there should stand in Eq. (11)  $\eta_{n+l,i} = 0$  for i < n [5]. However, the limited accuracy of the floating point arithmetic leads to correction terms with respect to all previous directions, Eq. (11) is thus a counterpart of the Gram-Schmidt orthogonalisation scheme.

#### **Underdetermined systems**

All known research, see e.g. [1,2], deals with the overdetermined case only, hence heavily limits the generality of the load being reconstructed, which is usually assumed to be a non-moving, single force pinpointing a single DOF. The location of the affected DOF is known in advance or determined in a second-stage non-linear optimisation. However, the approach of this paper addresses directly the general underdetermined case.

Load decomposition. Matrix B of Eq. (4) has a unique singular value decomposition (SVD) [6],

$$B = U \Sigma V^{T}, \tag{12}$$

where U and V are (square) unitary matrices ( $U^T U = I$  and  $V^T V = I$ ) and  $\Sigma$  is a diagonal matrix. Let P be the linear space of all possible load configurations, and let  $V_1$  and  $V_2$  denote the two component matrices of  $V = [V_1 V_2]$ , where the number of columns of  $V_1$  equals the number of positive singular values of B. Notice that the columns of  $V_1$  and  $V_2$  are orthonormal vectors in P and span two orthogonal and complimentary linear subspaces  $P_1$  and  $P_2$ ,  $P = P_1 x P_2$ . Due to Eq. (12)  $BV_2 = 0$ , i.e. the linear operator B projects P onto  $P_1$  to transform it later orthonormally via U.

Therefore,  $P_1$  is the *reconstructible* subspace of P with respect to B, while  $P_2$  is the *unreconstructible* subspace of P (with respect to B). In other words, each load can be decomposed into a sum of two orthogonal components, the first  $p_R$  being a linear combination  $m_1$  of columns of  $V_1$  and fully reconstructible from the (exact) measurements, which retain no information concerning the second component being a linear combination of  $V_2$ :

$$p = V_1 m_1 + V_2 m_2 = p_R + V_2 m_2, B p = B p_R = U \Sigma m_1.$$
(13)

**Reconstructible load component.** Given the measurements  $\varepsilon^{M}$ , the corresponding reconstructible load component  $p_{R}$  can be found either using the standard pseudoinverse of B,

$$\boldsymbol{p}_{R} = \boldsymbol{V} \boldsymbol{\Sigma}^{+} \boldsymbol{U}^{T} \boldsymbol{\varepsilon}^{M}, \tag{14}$$

where  $\Sigma^+$  is the transposed diagonal matrix containing reciprocals of the corresponding positive singular values and zeros, or by the iterative optimisation technique described above for the overdetermined systems. The latter approach is quicker, as it does not require performing the SVD, but it renders estimation of the irreconstructible load component impossible (since it requires knowledge of  $V_2$ ). Nevertheless, the quickness argument becomes less important in the case of multiple load reconstructions: the SVD has to be calculated only once.

Notice that due to strong ill-conditioning of **B**, some of the diagonal elements  $\Sigma^+$  can be very large and may have to be reduced or zeroed, which is a way to regularise the solution [2,4].

Unreconstructible load component. According to Eq. (13) any linear combination of columns of  $V_2$  added to the reconstructible load component does not change the response of the sensors. Hence the all loadings of the form

$$p = p_R + V_2 m_2, \tag{15}$$

where  $m_2$  is a vector of arbitrary coefficients, are admissible solutions to Eq. (4). Further choice of the *optimum* loading must be therefore based on additional criteria, not related to the measurements  $\varepsilon^{M}$ . It can be based on an *a priori* knowledge of expected characteristics of the loading: from all admissible loadings Eq. (15) choose the one that minimises a given norm **D** (e.g. the derivative **D**<sub>1</sub> with respect to time and/or space to obtain smooth loadings), i.e. minimise

$$g_{D}(m_{2}) = \left\| D(p_{R} + V_{2}m_{2}) \right\|^{2}.$$
(16)

Notice that (i) if D = I, then the  $g_D$  is minimised by  $m_2 = 0$  and  $p_R$  is the optimum, and (ii)  $g_D$  is a quadratic function of  $m_2$ , hence the optimum loading  $p_D$  depends linearly on  $p_R$  and  $\varepsilon^M$ ,

$$\boldsymbol{p}_{\boldsymbol{D}} = \left[ \boldsymbol{I} - \boldsymbol{V}_{2} \left( \boldsymbol{V}_{2}^{T} \boldsymbol{D}^{T} \boldsymbol{D} \boldsymbol{V}_{2} \right)^{-1} \boldsymbol{V}_{2}^{T} \boldsymbol{D}^{T} \boldsymbol{D} \right] \boldsymbol{p}_{\boldsymbol{R}} = \boldsymbol{X}_{\boldsymbol{D}} \boldsymbol{\varepsilon}^{\boldsymbol{M}}.$$
(17)

#### **Optimal Sensor Location**

**Optimality criteria.** If the number of potentially load-exposed DOFs *N* exceeds considerably the number of available sensors *A*, which is intended in this paper, the question of optimum sensor location arises. There is not much theoretical investigation into the problem. Mackiewicz at al. [7] propose to locate the sensors to minimise the ill-conditioning of the reconstruction process. This can be here quantified as the task of finding the sensor location  $\pi$ , which minimises the following standard measure of ill-conditioning of the corresponding matrix  $B_{\pi}$ :

$$q_1(\boldsymbol{\pi}) = \log \left[ \sigma_{max}(\boldsymbol{B}_{\boldsymbol{\pi}}) / \sigma_{min}(\boldsymbol{B}_{\boldsymbol{\pi}}) \right].$$
(18)

In Eq. (18)  $\pi$  is a location of all *A* sensors thorough the structure, hence it can be represented as an *A*-element subset of {1, 2, ..., *A<sub>max</sub>*}, where *A<sub>max</sub>* is the number of all possible locations of a single sensor.  $\sigma_{max}$  and  $\sigma_{min}$  are the maximum and minimum singular values of the corresponding matrix  $B_{\pi}$ .

In underdetermined systems considered here arises also the problem of the reconstruction accuracy, which for a given loading can be quantified as the distance between the loading and its reconstructible component. Thus, if  $V_{1\pi}$  and  $V_{2\pi}$  denote the matrices  $V_1$  and  $V_2$  calculated for a given sensor location  $\pi$ , then a measure of reconstruction accuracy with respect to a given set of loadings  $\{p_1, p_2, ..., p_M\}$  (which should be well-suited to loadings expected in reality) can be defined as

$$q_{2}(\boldsymbol{\pi}) = \sum_{i=1}^{M} \left\| \left( \boldsymbol{I} - \boldsymbol{V}_{1\boldsymbol{\pi}} \boldsymbol{V}_{1\boldsymbol{\pi}}^{T} \right) \boldsymbol{p}_{i} \right\|^{2} = \sum_{i=1}^{M} \left\| \boldsymbol{V}_{2\boldsymbol{\pi}} \boldsymbol{V}_{2\boldsymbol{\pi}}^{T} \boldsymbol{p}_{i} \right\|^{2}.$$
(19)

These criteria tend to be negatively correlated. Therefore, they have to be combined, possibly by considering the reconstruction accuracy, but with a limited number of columns of  $V_{2\pi}$  (i.e. the modal loadings being superposed) to keep the ill-conditioning bounded.

### Numerical example.

Fig. 1 shows the 10 element, 1 m long frame structure used in the numerical example. Loading forces can occur only vertically in any/all of the 10 nodes. The measurement time interval is T = 10 ms, discretised into 100 time steps, the time shift is  $\Delta T = 0.5$  ms, hence the reconstruction time interval is 10.5 ms long (105 time steps). Three or four sensors can be located in any of the 10 elements (A equals 3 or 4). The sensors model simple piezo-patches and hence measure the local curvature (the difference between the two neighbouring rotational DOFs). The matrix **B** is thus 300 x 1050 or 400 x 1050.



Fig. 1 Frame structure used in the numerical example (steel, 200 GPa, 7800 kg/m<sup>3</sup>,  $\varphi = 1$  cm, l = 1 m)



Fig. 2 Correlation plot for sensor location criteria (crosses – 3 sensors, circles – 4 sensors)





**Fig. 3** Best and worst sensor locations: 3 sensors: (a)  $q_1$  best; (b)  $q_1$  worst,  $q_2$  best; (c)  $q_2$  worst 4 sensors: (d)  $q_1$  best; (e)  $q_1$  worst,  $q_2$  best; (f)  $q_2$  worst

Fig. 4 One of 168 identical in shape loadings used for calculating criterion  $q_2$ vertical axis denotes the force

The diagram in Fig. 2 illustrates the correlation between the sensor location criteria  $q_1$  and  $q_2$ , each dot corresponds to one sensor location; Fig. 3 shows the calculated best and worst locations. The

criterion  $q_2$  has been calculated with respect to a set of 168 simple impact loadings, one half being distributed uniformly and the other half randomly in time (-0.5 – 10 ms) and space (1 – 10 DOF). Fig. 4 shows (in time and space) the force evolution, which has been shifted in time and space to form all 168 test loadings. Fig. 2 shows strong negative correlation between  $q_1$  and  $q_2$  ( $\rho = -0.66$ ), which is also confirmed in Fig. 3: the worst sensor locations with respect to  $q_1$  are the best wrt  $q_2$ . The  $q_2$ -best locations may seem astonishing unless realized that they are the locations with the largest responses of piezo-patch sensors (local curvature).

The reconstructible components of the test loading shown in Fig. 4 are calculated wrt the  $q_2$ -best and  $q_1$ -best locations of four sensors and shown respectively in Fig. 5 and Fig. 6. The former is far more accurate, but also much more prone to measurement errors. A real-world criterion for sensor location should thus partially trade reconstruction accuracy for better conditioning, rejecting the modal loadings (columns of  $V_2$ ) conditioned below the expected noise level.



four sensors,  $q_2$ -best sensor location

Fig. 6 Reconstructible load component, four sensors,  $q_1$ -best sensor location

# **Conclusions and Further Steps**

A robust methodology for a posteriori reconstruction of loading forces is described. Its novelty lies in the limitation of the number of sensors necessary to reconstruct a general dynamic loading, including multiple and moving load cases. Potential application area are black box type systems.

The research is ongoing to investigate further the problem of optimum sensor location and to include the case of small plastic deformations. Experimental verification is also being prepared.

### Acknowledgments

The authors gratefully acknowledge the support of the Polish Research Projects DIADYN (PBZ-KBN-105/T10/2003) and MAT-INT (PBZ-KBN-115/T08/2004).

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